Total Number of F	RANSMIT FORW	TTAL I nce after initial f		U.S. Application Number  Filing Date First Named Inventor Art Unit Examiner Name  Attorney Docket Number	Patent and lection of in	PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031 Trademark Office; U.S. DEPARTMENT OF COMMERCE formation unless it displays a valid OMB control number.  10/642,676 Aug. 19,2003 Videen
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Fed Amendmen Afte Affe  Extension Express Al Information Certified C Document Response Incomplete	er Final idavits/declar of Time Requision bandonment in Disclosure	uest Request Statement y arts/ ssing Parts		Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence o		After Allowance communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Copies of 27 patents & Jocuments
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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### **PATENT**

# n Re Applied AAPS And A

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Gorden Videen

Serial No.: 10/642, 676

Filed: Aug. 19, 2003

Confirmation No.: To Be Assigned

Group Art Unit: To Be Assigned

Examiner: To Be Assigned

Docket No.: ARL 03-04

For: Systems and Methods for Analyzing Particle Systems Using Polarized Scattered Light

### **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

	$\boxtimes$	under 37 CFR 1.97(b), or (within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
		under 37 CFR 1.97(c) together with either a:  Statement Under 37 C.F.R. 1.97(e), or a \$180.00 fee under 37 CFR 1.17(p), or (After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)
		under 37 CFR 1.97(d) together with a:  Statement under 37 CFR 1.97(e), and a \$180.00 petition fee set forth in 37 CFR 1.17(p). (Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)
•	y of this	d is a check in the amount of \$ . Please charge \$ to deposit account . At any time during the application, please charge any fees required to Deposit Account pursuant to 37 CFR 1.25. The hereby requested to credit any overpayment to Deposit Account No
	patents, be mate	nt(s) submit herewith Form PTO 1449A - Information Disclosure Statement by Applicant together with copies of publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not rial to the examination of this application and for which there may be a duty to disclose in accordance with 37 6. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.
	other for 37 CFR is cited language	se explanation of the relevance of foreign language patents, foreign language publications and reign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent in a search report or other action by a foreign patent office in a counterpart foreign application, an English e version of the search report or action which indicates the degree of relevance found by the foreign office is listed form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be enabling for the teachings they purport

to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. Any statements or identifications regarding the relevance of any portion(s) of cited references should not be construed as a representation that the most relevant portion(s) have been identified, and the absence of such statements or identifications should not be construed as representations that there are no relevant portion(s). The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

William Randolph William Randolph Patent Attorney Reg. No. 28,986 Nov. 12,2003

### CERTIFIED MAILING

I hereby c		that	this	corres	pondence	e is
with the "First Clas	United		es Po	stal	Service	as
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Signature

NOV 1 2 2003 INFORMATION DISCLOSURE CITATION

**EXAMINER'S SIGNATURE:** 

Attorney Docket No.
ARL 03-04 10/642,676

Applicant Gorden Videen

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			U.S. PA	TENT DOCUMEN	TS				
Examiner Initials	Item	Document Number	Date Nar		me Class		Subclass	Filing Date If Appropriate	
	Α	5,028,119	7/2/91	Hegg, et al.		350	174	4/7/8	89
	В	5,060,063	10/22/91	Gary T. Freeman		358		7/30/	/90
	С	6,138,083	10/24/00	Gorden Videen		702	155	8/7/9	98
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	Е	6,239,873	5/29/01	Gorden Videen		356	369	11/13/00 11/13/00 11/13/00	
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	G	6,414,797	7/2/02	Gorden Videen		359	631		
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•		Document Date Country Number					Subclass	Transla	tior
			·					Yes	]
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	H	Patent Application filed 11 Rotatable Beamsplitter and			and entitled Wide	-Angle B	Backscatter Pr	obe Havir	ng a
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DATE CONSIDERED:

Form PTO-1449

# INFORMATION CITATION

(Use several sheets if necessary)

conformance and not considered. Include copy of this form with next communication to the applicant.

**EXAMINER'S SIGNATURE:** 

Attorney Docket No. ARL 03-04 10/642,676

Applicant

Gorden Videen

Filing Date

Group

To be assigned.

			IIC DA	TENT DOCUMENTS		Tob	e assign	ed	
Examiner Initials	Item	Document Number	Date	ATENT DOCUMENTS  Name	Class	Subclass	Filing Date		
Illitials	-		0/06/00				If Appro		
	Q	6,124,928	9/26/00	Slater	356	317	3/6/9		
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.1		Document Number	Date	Country	Class	Subclass	Translation		
							Yes	1	
·	<u> </u>	OTHER DOCU	JMENTS (Inclu	ding Author, Title, Date, Perti	nent Pages, etc	:)		<u> </u>	
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